Subject: Clinical Review of Dental Services in Medical Benefit

Background: Adult and pediatric dental and oral surgery services includes emergency dental care, extractions, periodontal surgery, inpatient hospital and surgical day care services, preventive services and surgical treatment of certain mouth/jaw injury or disease based on certain indications.

Authorization:
Prior authorization is required for all elective (non-urgent) inpatient or surgical day care admissions for dental services provided to members enrolled in commercial (HMO, POS, PPO) products.

• Denials as a result of clinical review of dental services in medical benefit are considered benefit denials

HPHC policies are based on medical science, and written to apply to the majority of people with a given condition. Individual members’ unique clinical circumstances, and capabilities of the local delivery system are considered when making individual UM determinations.

Coverage described in this policy is standard under most HPHC plans. Specific benefits may vary by product and/or employer group. Please reference appropriate member materials (e.g., Schedule of Benefits, Benefit Handbook, Certificate of Coverage) for member-specific benefit information.

Policy and Coverage Criteria:

Extraction of Teeth Impacted in Bone
Harvard Pilgrim Health Care (HPHC) considers surgical extraction of bony impacted teeth as reasonable and medically necessary and are indicated for ANY of the following:

• Facilitate the management or limit progression of periodontal disease
• Ectopic position
• Is adjacent to a maxillary sinus at risk of persistent oro-antral fistula
• Facilitate prosthetic rehabilitation
• Facilitate orthodontic tooth movement and promote dental stability
• Tooth interfering with orthognathic and/or reconstructive surgery
• Fractured tooth
• Removal risks fracture of the mandible
• Is adjacent to a neuro-vascular bundle
• Non-restorable caries
• Internal or external resorption of tooth or adjacent teeth
• Tooth involved in tumor resection
• Prophylactic removal in patients with certain medical or surgical conditions or treatments (e.g., organ transplants, alloplastic implants, chemotherapy, radiation therapy)
• Non-treatable pulpal lesion
• Acute or chronic infection (e.g., cellulitis, abscess)
• Findings of periodontal disease
• Findings of periapical pathology
• Elective therapeutic removal
• Tooth in the line of a jaw fracture complicating fracture management
• Pathology associated with tooth follicle (e.g., cysts, tumors)
• Facilitate management in trauma, orthognathic or reconstructive surgery
• Insufficient space to accommodate erupting tooth or teeth
• Orthodontic abnormalities (e.g., arch length/tooth size discrepancies)

Emergency Dental Care
Harvard Pilgrim Health Care (HPHC) considers dental services resulting from an accidental injury to sound natural teeth and gums as reasonable and medically necessary when documentation confirms member has received a course of treatment for the accidental injury within three months of the date of injury.

Note: Refer to Schedule of Benefits or Benefit Handbook for state-specific month limits on emergency dental services.

Note: Necessary treatment due to injury to the jaw and oral structures other than teeth are covered without time limit.

Anesthesia and Facility Coverage
Harvard Pilgrim Health Care (HPHC) considers the use of general anesthesia and monitored anesthesia care (MAC), including facility charges, as reasonable and medically necessary when ANY of the following are met:

• Member is categorized as certain American Society of Anesthesiologists (ASA) III - individual with severe systemic disease (individual case consideration), OR
• Member is categorized as ASA IV (individual with severe systemic disease that is a constant threat to life) severe systemic requiring removal of pathologic wisdom tooth, or multiple pathologic teeth (e.g. caries, periodontal disease, cystic involvement), OR
• Developmental disability/exceptional medical circumstances, OR
• Member is pregnant, OR
• Increased risk for airway obstruction due to anatomic variation, such as:
  o History of stridor
  o Dysmorphic facial features
  o Oral abnormalities (e.g. macroglossia)
  o Neck abnormalities (e.g. neck mass)
  o Jaw abnormalities (e.g. micrognathia), OR
• Member has ONE of the following:
  o History of adverse reaction to sedation
  o History of inadequate response to sedation
  o Obstructive sleep apnea
  o Morbid obesity (e.g. BMI >40)
  o Active or history of alcohol or substance abuse

Harvard Pilgrim Health Care (HPHC) considers general anesthesia or monitored anesthesia care (MAC) as reasonable and medically necessary for dental restoration for children age 48 months or younger with rampant decay and history of at least one unsuccessful attempt to treat in the office setting.
For children enrolled in a New Hampshire plan, HPHC considers general anesthesia or MAC as reasonable and medically necessary when ALL the following are met:
  o Child is 13 years old or younger;
  o Primary Care Provider (PCP)/Attending provider confirms through documentation that member has ANY of the following:
    • Complex dental condition
    • Developmental disability
    • Exceptional medical circumstance(s)

NOTE: Clinical notes must clearly describe the member’s condition or exceptional medical circumstances, and how/why the member’s condition or circumstance inhibits the safe delivery of care in an office setting.

Harvard Pilgrim Health Care (HPHC) considers general anesthesia or monitored anesthesia care (MAC) as reasonable and medically necessary when ANY of the following are met:
  • Member with functional or behavioral impairment when documentation confirms the member has an impairment due to a medical or behavioral condition (e.g. autism, developmental delay) manifesting as severe oppositional and uncooperative behavior and ANY of the following:
    o Rampant decay, or dental needs of high complexity, OR
    o History of two or more unsuccessful attempts to treat in the office setting and documentation includes an evaluation by an oral maxillofacial surgeon (OMFS) or dentist who is certified in office based procedural sedation and analgesia, OR
    o The Primary Care Physician (PCP) or attending practitioner clearly describes how/why the member’s functional or behavioral impairment inhibits the safe delivery of care in an office setting considering the level of dental needs.

  • Member with extreme apprehension and anxiety when documentation confirms ALL the following:
    o Member with rampant decay and/or highly complex dental needs has extreme apprehension and anxiety manifesting as significant oppositional and uncooperative behavior during treatment; AND
    o History of at least two unsuccessful attempts to treat in the office setting, including an evaluation by an OMFS or dentist who is certified in office based procedural sedation and analgesia; AND
    o The PCP or attending practitioner clearly describes why the member’s functional or behavioral impairment inhibits the safe delivery of care in an office setting.

  • Member with coexisting medical condition, comorbidity, or physical disability when documentation confirms ALL the following:
    o Member has ANY of the following conditions that might inhibit the safe delivery of care in an office setting:
      • Medical condition(s) resulting in American Society of Anesthesiology (ASA) physical status classification Class III or higher,
      • Pulmonary function measurement of FEV1 < 60% of predicted,
      • Moderate to severe asthma that is poorly controlled,
      • Acute cardiac disease, current angina, or class III or IV congestive heart failure (CHF),
      • Moderate to severe aortic stenosis, or symptomatic mitral stenosis,
      • Myocardial Infarction (MI) within past six months,
      • Poorly controlled hypertension,
      • Poorly controlled diabetes, or diabetes with vascular complications,
      • Morbid Obesity (BMI > 40),
      • Bleeding disorder that cannot be improved sufficiently to safely perform the procedure in an office setting,
      • Uncontrolled seizures,
- Potential for difficult airway management (i.e. history of difficult intubation, neuromuscular disease, significant cervical spinal disease, deformities of the mouth or jaw impeding airway),
- History of adverse reaction to anesthesia or sedation; Other medical conditions felt to inhibit the safe delivery of care in an office setting
  - Member has dental needs, and treatment cannot be safely delayed in order to try to stabilize the member’s medical condition;
  - Primary care provider (PCP) or appropriate specialist consultant clearly documents why the dental procedure cannot be safely and effectively performed in an office setting.

NOTE: This medical policy does not address coverage under the dental benefit. However, associated charges, such as general and MAC anesthesia, may be covered on individual consideration if above criteria are met.

NOTE: When a child is enrolled in a New Hampshire plan, HPHC considers inpatient hospital or Surgical Day Care (SDC) facility charges and administration of general anesthesia as medically necessary for children under the age of 13 with a dental condition of significant dental complexity, exceptional medical circumstances or a developmental disability.

Cleft Lip/Cleft Palate Procedures
Harvard Pilgrim Health Care (HPHC) considers the treatment of cleft lip and cleft palate for children under the age of 18 as reasonable and medically necessary for ANY of the following:
- Medical, dental, oral and facial surgery, including surgery performed by oral and plastic surgeons and surgical management and follow-up care related to such surgery, OR
- Orthodontic treatment, OR
- Preventative and restorative dentistry to ensure good health and adequate dental structures to support orthodontic treatment or prosthetic management therapy, OR
- Speech therapy, OR
- Audiology services, OR
- Nutrition services

Pediatric Oral Health Services
Under the Affordable Care Act (ACA), Harvard Pilgrim Health Care (HPHC) considers the following pediatric oral health services as medically necessary:
- Exams, cleanings, fluoride, sealants, X-rays

Periodontal Surgery
Harvard Pilgrim Health Care (HPHC) considers periodontal surgery for drug-induced gingival hyperplasia as reasonable and medically necessary when documentation confirms the presence of drug-induced gingival hyperplasia with ANY of the following:
- Pocket depths > 5mm,
- Difficulty with hygiene due to orthodontic brackets impinging on the gingiva,
- A medication history including dosages of relevant drugs (e.g., Dilantin, Calcium Channel Blockers).

NOTE: Required documentation must represent the member’s current pre-operative condition and must include medication history including dosages or relevant drugs (e.g. Dilantin, Calcium channel blockers), periodontal charting, and photographs.
Members with Serious Medical Conditions

Medical/Surgical Care for Osteonecrosis or Osteoradionecrosis
Harvard Pilgrim Health Care (HPHC) considers medical/surgical care for osteonecrosis or osteoradionecrosis of the jaw as reasonable and medically necessary when documentation confirms the presence of EITHER of the following:

- Osteonecrosis of the jaw secondary due to ANY of the following:
  - Chemotherapy
  - Bone marrow or solid organ transplant
  - HIV immunodeficiency
  - IV bisphosphonate therapy, or
- Osteoradionecrosis due to either head and neck, or mantle field radiation.

NOTE: Required documentation must represent the member’s current pre-operative condition and must include narrative description of relevant clinical findings, x-rays and/or CT scan reports, and photographs demonstrating bone involvement (when applicable).

Tooth extraction
Harvard Pilgrim Health Care (HPHC) considers tooth extraction as reasonable and medically necessary when documentation confirms ANY of the following:

- Member is pre-or post-head and neck/mantle field radiation therapy, pre-chemotherapy, OR
- Member is pre-bone marrow or solid organ transplant, OR
- Member has severe immunodeficiency (e.g., post organ transplant, peri-chemotherapy), OR
- Member has osteonecrosis of the jaw related to chemotherapy, bone marrow or solid organ transplant, HIV immunodeficiency, or IV bisphosphonate therapy, OR
- Member has osteoradionecrosis due to head and neck, or mantle field radiation.

NOTE: Required documentation must represent the member’s current pre-operative condition and must include narrative description of relevant clinical findings, x-rays and/or Computed tomography (CT) scan reports, and photographs demonstrating bone involvement (when applicable).

Exclusions:
Harvard Pilgrim Health Care (HPHC) considers all other dental services as a benefit contract exclusion. In addition, HPHC does not cover:

- Alveoloplasty and/or alveolectomy, for preparation of dentures or bridges, except as described above
- Cosmetic tooth implants
- Apicoectomy
- Bone grafting in conjunction with preparation for dental implants and/or dentures
- Brush biopsy – transepithelial sample collection
- Charges for restorative dental care or non-covered oral surgery when anesthesia and/or hospital care is authorized for members with special needs
- Dental treatment/consultation for temporomandibular joint disorders (TMD/TMJ)
- Endodontic care (i.e. root canals)
- Extraction of impacted teeth to prepare for or support orthodontic, prosthodontic or periodontal procedures (except for cleft palate repair)
- Extraction of non-impacted teeth, except for high-risk members with serious immunodeficiency due to medical conditions (i.e. AIDS, human organ transplant, chemotherapy) or osteoradionecrosis due to head or neck radiation
• Cosmetic genioplasty
• Hospital or other ancillary costs associated with non-covered services
• Cosmetic labial frenectomies
• Operculectomy
• Oral surgery services
• General periodontal care, except as described above
• All services of a dentist for temporomandibular joint dysfunction (TMD/TMJ)

Supporting Information:
The American Association of Oral and Maxillofacial Surgeons (AAOMS, 2013) recommended guidelines of care for individuals needing treatment of impacted teeth. As impacted third molar teeth are potentially pathologic, removal, exposure, repositioning or appropriate long-term monitoring is required. Indications for treatment include, but are not limited to, the following:
• Facilitate the management or limit progression of periodontal disease
• Ectopic position
• Facilitate prosthetic rehabilitation
• Facilitate orthodontic tooth movement and promote dental stability
• Tooth interfering with orthognathic and/or reconstructive surgery
• Fractured tooth
• Non-restorable caries
• Internal or external resorption of tooth or adjacent teeth
• Tooth involved in tumor resection
• Prophylactic removal in patients with certain medical or surgical conditions or treatments (e.g., organ transplants, alloplastic implants, chemotherapy, radiation therapy)
• Non-treatable pulpal lesion
• Acute or chronic infection (e.g., cellulitis, abscess)
• Findings of periodontal disease
• Findings of periapical pathology
• Elective therapeutic removal
• Tooth in the line of a jaw fracture complicating fracture management
• Pathology associated with tooth follicle (e.g., cysts, tumors)
• Facilitate management in trauma, orthognathic or reconstructive surgery
• Insufficient space to accommodate erupting tooth or teeth
• Orthodontic abnormalities (e.g., arch length/tooth size discrepancies)

The American Cleft Palate-Craniofacial Association (ACPA, 2009) published parameters for evaluation and treatment of patients with cleft lip/palate or other craniofacial anomalies. It is recommended that individuals with craniofacial anomalies be provided with routine dental care and maintenance, including examinations, caries control, preventive, restorative and prosthetic dental treatment as needed.

The American Academy of Pediatric Dentistry (AAPD, 2004-2005) published the following guidelines to indicate the need for deep sedation and general anesthesia in pediatric dental patients:
• Members with physically, mentally or medically compromising conditions
• Individuals with extreme situational anxiety, fear or uncooperativeness
• Members with extensive orofacial or dental trauma
Guidelines:

### American Society of Anesthesiologists (ASA) Physical Status (PS) Classification System

<table>
<thead>
<tr>
<th>ASA PS Classification</th>
<th>Definition</th>
<th>Examples, including, but not limited to:</th>
</tr>
</thead>
<tbody>
<tr>
<td>ASA I</td>
<td>A normal healthy patient</td>
<td>Healthy, non-smoking, no or minimal alcohol use</td>
</tr>
<tr>
<td>ASA II</td>
<td>A patient with mild systemic disease</td>
<td>Mild diseases only without substantive functional limitations. Examples include (but not limited to): current smoker, social alcohol drinker, pregnancy, obesity (30 &lt; BMI &lt; 40), well-controlled DM/HTN, mild lung disease</td>
</tr>
<tr>
<td>ASA III</td>
<td>A patient with severe systemic disease</td>
<td>Substantive functional limitations; One or more moderate to severe diseases. Examples include (but not limited to): poorly controlled DM or HTN, COPD, morbid obesity (BMI ≥40), active hepatitis, alcohol dependence or abuse, implanted pacemaker, moderate reduction of ejection fraction, ESRD undergoing regularly scheduled dialysis, premature infant PCA &lt; 60 weeks, history (&gt;3 months) of MI, CVA, TIA, or CAD/stents</td>
</tr>
<tr>
<td>ASA IV</td>
<td>A patient with severe systemic disease that is a constant threat to life</td>
<td>Examples include (but not limited to): recent (&lt; 3 months) MI, CVA, TIA, or CAD/stents, ongoing cardiac ischemia or severe valve dysfunction, severe reduction of ejection fraction, sepsis, DIC, ARD or ESRD not undergoing regularly scheduled dialysis</td>
</tr>
<tr>
<td>ASA V</td>
<td>A moribund patient who is not expected to survive without the operation</td>
<td>Examples include (but not limited to): ruptured abdominal/thoracic aneurysm, massive trauma, intracranial bleed with mass effect, ischemic bowel in the face of significant cardiac pathology or multiple organ/system dysfunction</td>
</tr>
<tr>
<td>ASA VI</td>
<td>A declared brain-dead patient whose organs are being removed for donor purposes</td>
<td></td>
</tr>
</tbody>
</table>

**Coding:**

Codes are listed below for informational purposes only, and do not guarantee member coverage or provider reimbursement. The list may not be all-inclusive. Deleted codes and codes which are not effective at the time the service is rendered may not be eligible.

<table>
<thead>
<tr>
<th>CDT Codes</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>D7210</td>
<td>Surgical removal of erupted tooth requiring removal of bone and/or sectioning of tooth, and including elevation of mucoperiosteal flap if indicated</td>
</tr>
<tr>
<td>D7230</td>
<td>Removal of impacted tooth - partially bony</td>
</tr>
<tr>
<td>D7240</td>
<td>Removal of impacted tooth - complete bony</td>
</tr>
<tr>
<td>D7241</td>
<td>Removal of impacted tooth - complete bony, with unusual surgical complications</td>
</tr>
</tbody>
</table>
Billing Guidelines:
Member’s medical records must document that services are medically necessary for the care provided. Harvard Pilgrim Health Care maintains the right to audit the services provided to our members, regardless of the participation status of the provider. All documentation must be available to HPHC upon request. Failure to produce the requested information may result in denial or retraction of payment.

References:


Summary of Changes

<table>
<thead>
<tr>
<th>Date</th>
<th>Changes</th>
</tr>
</thead>
<tbody>
<tr>
<td>12/22</td>
<td>Annual review; no changes</td>
</tr>
<tr>
<td>4/21</td>
<td>Annual review; no changes</td>
</tr>
<tr>
<td>2/21</td>
<td>Coding updated</td>
</tr>
<tr>
<td>11/20</td>
<td>Annual review; criteria updated</td>
</tr>
<tr>
<td>12/19</td>
<td>Annual review; no changes</td>
</tr>
<tr>
<td>9/19</td>
<td>Criteria and references updated</td>
</tr>
<tr>
<td>4/18</td>
<td>Policy coverage criteria changed; Changed policy title from Dental and Oral Surgery Services to Dental Services</td>
</tr>
<tr>
<td>5/17</td>
<td>Reviewed and reissued</td>
</tr>
<tr>
<td>4/16</td>
<td>Minor formatting edits.</td>
</tr>
<tr>
<td>3/15</td>
<td>Language and formatting changes. Expand impacted tooth criteria to include 1) tooth adjacent to a maxillary sinus at risk of persistent oro-antral fistula, and 2) tooth removal risks fracture of the mandible.</td>
</tr>
</tbody>
</table>

Approved by Medical Policy Committee: 12/21/22

Approved by Clinical Policy Operational Committee: 1/03, 8/03, 9/04, 10/05, 11/06, 10/07, 9/09, 9/10, 9/11, 9/12, 2/13, 2/13, 4/14, 4/15, 4/16, 5/17, 4/18, 9/19, 12/20, 2/21, 3/21; 2/23

Policy Effective Date: 3/1/23

Initiated: 11/01

HPHC Medical Policy

Clinical Review of Dental Services in Medical Benefit

<table>
<thead>
<tr>
<th>Date</th>
<th>Changes</th>
</tr>
</thead>
<tbody>
<tr>
<td>12/22</td>
<td>Annual review; no changes</td>
</tr>
<tr>
<td>4/21</td>
<td>Annual review; no changes</td>
</tr>
<tr>
<td>2/21</td>
<td>Coding updated</td>
</tr>
<tr>
<td>11/20</td>
<td>Annual review; criteria updated</td>
</tr>
<tr>
<td>12/19</td>
<td>Annual review; no changes</td>
</tr>
<tr>
<td>9/19</td>
<td>Criteria and references updated</td>
</tr>
<tr>
<td>4/18</td>
<td>Policy coverage criteria changed; Changed policy title from Dental and Oral Surgery Services to Dental Services</td>
</tr>
<tr>
<td>5/17</td>
<td>Reviewed and reissued</td>
</tr>
<tr>
<td>4/16</td>
<td>Minor formatting edits.</td>
</tr>
<tr>
<td>3/15</td>
<td>Language and formatting changes. Expand impacted tooth criteria to include 1) tooth adjacent to a maxillary sinus at risk of persistent oro-antral fistula, and 2) tooth removal risks fracture of the mandible.</td>
</tr>
</tbody>
</table>

Approved by Medical Policy Committee: 12/21/22

Approved by Clinical Policy Operational Committee: 1/03, 8/03, 9/04, 10/05, 11/06, 10/07, 9/09, 9/10, 9/11, 9/12, 2/13, 2/13, 4/14, 4/15, 4/16, 5/17, 4/18, 9/19, 12/20, 2/21, 3/21; 2/23

Policy Effective Date: 3/1/23

Initiated: 11/01

HPHC policies are based on medical science, and written to apply to the majority of people with a given condition. Individual members’ unique clinical circumstances, and capabilities of the local delivery system are considered when making individual UM determinations.

Coverage described in this policy is standard under most HPHC plans. Specific benefits may vary by product and/or employer group. Please reference appropriate member materials (e.g., Schedule of Benefits, Benefit Handbook, Certificate of Coverage) for member-specific benefit information.